By Michael Sun, Tomasz Oliwa, Monica E. Peek, and Elizabeth L. Tung

Negative Patient Descriptors: Documenting Racial Bias In The Electronic Health Record

DOI: 10.1377/hlthaff.2021.01423
HEALTH AFFAIRS 41,
NO. 2 (2022): 203–211
This open access article is
distributed in accordance with the
terms of the Creative Commons
Attribution (CC BY 4.0) license.

ABSTRACT Little is known about how racism and bias may be communicated in the medical record. This study used machine learning to analyze electronic health records (EHRs) from an urban academic medical center and to investigate whether providers' use of negative patient descriptors varied by patient race or ethnicity. We analyzed a sample of 40,113 history and physical notes (January 2019–October 2020) from 18,459 patients for sentences containing a negative descriptor (for example, resistant or noncompliant) of the patient or the patient's behavior. We used mixed effects logistic regression to determine the odds of finding at least one negative descriptor as a function of the patient's race or ethnicity, controlling for sociodemographic and health characteristics. Compared with White patients, Black patients had 2.54 times the odds of having at least one negative descriptor in the history and physical notes. Our findings raise concerns about stigmatizing language in the EHR and its potential to exacerbate racial and ethnic health care disparities.

Michael Sun (Michael.Sun@ uchospitals.edu), University of Chicago, Chicago, Illinois.

Tomasz Oliwa, University of Chicago.

Monica E. Peek, University of Chicago.

Elizabeth L. Tung, University of Chicago.

here is robust evidence of unequal treatment by race in the US health care system and of its negative impact on patients. During 2005-13, 12.3 percent of Black respondents reported discrimination in health care compared with 2.3 percent of White respondents in a nationally representative study of the Centers for Disease Control and Prevention's Behavioral Risk Factor Surveillance System.¹ In 2020, four national surveys found that 11-20 percent of Black adults reported experiencing discrimination in health care during the preceding year.² Although no nationally representative studies have quantified the prevalence of implicit bias (the unconscious attitudes and stereotypes that individuals may hold), multiple studies have nevertheless documented evidence of its impacts in health care. For example, Lisa Cooper and colleagues used audio recordings of health care encounters and found that physicians who tested

higher on implicit bias measures were more verbally dominant and used less patient-centered language with Black patients.³

Studies have also identified ways in which implicit bias can negatively affect the patientprovider relationship.4,5 Studies using the Implicit Association Test, a tool used to measure unconscious bias, found that health care bias was associated with lower levels of patient adherence to treatment plans and lower trust in health care providers. 6,7 In a study by Janice Blanchard and Nicole Lurie, patients who perceived racial discrimination in health care were more likely to delay care, less likely to receive recommended chronic disease screening, and less likely to follow their physician's recommendations.8 Implicit bias has clear negative effects on provider communication, trust in medical care, and the delivery of health care to racially marginalized populations. Hence, it is not surprising that Black adults are more likely to report medical distrust⁹ and that medical distrust has been found to partially mediate associations between Black race and COVID-19 vaccine declination.¹⁰

Racial disparities in health and health care during the COVID-19 pandemic have brought additional attention to how structural racism (differential access to goods, services, or opportunities based on race) can affect patient care. Yet despite greater recognition of the potential for clinician bias in health care delivery, 11 few studies have quantified clinician bias or examined how racism and bias are communicated among health care providers in clinical settings. Explicitly stigmatizing language such as "sickler," "frequent-flyer," and other terms persist in everyday medical language¹²⁻¹⁴ and may have consequences for patient care. In a study by Anna Goddu and colleagues, clinical vignettes were used to examine the effects of explicitly stigmatizing language on providers' perceptions of the patient and corresponding treatment plans.15 The study found that when medical providers were shown a hypothetical chart note containing stigmatizing language, they were more likely to have a negative perception of the patient's pain and to formulate a less aggressive pain management plan than when presented with a chart note without stigmatizing language. To our knowledge, no study to date has used a quantitative approach to specifically examine differences in providers' use of negative patient descriptors by race or ethnicity in the context of real-world medical notes.

We used machine learning techniques to analyze potentially stigmatizing language in the electronic health records (EHRs) of patients seen at an urban academic medical center. Our study aimed to examine medical providers' use of negative patient descriptors in the history and physical notes and whether use varied by patient race or ethnicity. We hypothesized that chart notes in the EHR with stigmatizing language may be disproportionately applied to racially minoritized patients. Such a pattern of disproportionate use may indicate systemic biases in a health care delivery system against racially minoritized patients. Understanding how medical providers describe and document racially minoritized patients may inform how we address racial bias in health care.

Study Data And Methods

DATA AND SAMPLE We conducted a cross-sectional study of 18,459 patients with EHR data in a COVID-19 data mart at a large urban academic medical center in Chicago, Illinois. These data included health records for all patients who received medical treatment in an emergency de-

partment (ED), inpatient, or outpatient setting and who were tested for COVID-19 between January 1 and October 1, 2020. Because universal COVID-19 testing went into effect at this medical center April 30, 2020, the sample reflected all patients treated in an ED or inpatient setting between April 30 and October 1, 2020. We used the COVID-19 data mart because it contained high-quality data updated daily and because 83.3 percent of patients in our sample had at least one encounter during the five-month period with universal testing. The bulk of our sample, therefore, was not subject to the selection bias associated with symptom-based testing for COVID-19. The data mart also includes data on patients' encounters up to one year before their first COVID-19 test, for a final study period of January 1, 2019-October 1, 2020.

Our study population included all patients with at least one history and physical note in their EHR that was entered when they were seen in an ED, inpatient, or outpatient setting. The history and physical note is written by medical providers to document the patient's reason for seeking medical care; summarize the patient's medical, family, and social history; and describe the plan to address the patient's medical problems. We focus here on the history and physical note because it is intended to document a comprehensive narrative about a patient and because other providers extract relevant information from it for inclusion in their own chart notes, such as progress notes or discharge summaries. History and physical notes were extracted and deidentified before analysis. If a patient had multiple history and physical notes, all such notes were extracted and included for analysis.

We excluded patients with International Statistical Classification of Diseases and Related Health Problems, Tenth Revision (ICD-10), codes for dementia (n = 647), as negative descriptors may be applied to them more frequently because of the nature of their illness. However, we included patients with diagnoses such as substance use disorders and mental health conditions, as these diagnoses may be associated with negative unconscious bias. A list of ICD-10 codes used for each medical condition is in online appendix exhibit A1. 16 Of the 21,001 patients who met initial inclusion criteria, we excluded 1,564 patients for missing race or ethnicity data and an additional 978 patients for missing covariate data. Our final sample consisted of 18,459 patients with 40,113 history and physical notes for analysis. The study was approved with a waiver of informed consent from the University of Chicago Institutional Review Board.

CLASSIFICATION OF NEGATIVE DESCRIPTORSWe generated an initial list of negative patient

Our findings raise concerns about racial bias and possible transmission of stigma in the medical record.

descriptors by literature search for "difficult patient" and similar keywords. An expert panel from the Health Equity Commission of the Society of General Internal Medicine further reviewed and refined this list. Fifteen descriptors were selected for inclusion in the analysis: (non-)adherent, aggressive, agitated, angry, challenging, combative, (non-)compliant, confront, (non-)cooperative, defensive, exaggerate, hysterical, (un-)pleasant, refuse, and resist. We adjusted the descriptors to permit identification of alternative grammatical forms (for example, "adher" for "adherent," "adhere," or "adhered).

We preprocessed history and physical notes using natural language processing techniques to standardize the text data and split notes into sentences.¹⁷ From all sentences in the data set, we selected a random sample of sentences containing one or more of the fifteen selected patient descriptors for manual review and annotation by the lead author under the direction of two clinician researchers and a natural language processing methodologist. We categorized the use of each descriptor in one of three possible ways: negative (for example, "[the patient] has been poorly compliant" or "uncooperative with his physical exam" or "is non-adherent with her medication"), positive (for example, "[the patient] has been compliant" or "is calm and cooperative with interview" or "reports adherence with home medications"), or out of context (for example, "using a non-compliant balloon" or "airway semi-cooperative" or "non-adherent bandage"). Use in a sentence was considered out of context if the descriptor was applied to something other than the patient or a specific interaction with the patient. The list of patient descriptors and examples of use in different contexts are in appendix exhibit A2.16 A total of 6,818 sentences were classified and used to inform the machine learning model.

DEVELOPMENT OF THE MODEL We used natural language processing and machine learning

methods to develop the model to analyze the clinical notes data set. The goal of this model was to analyze a sentence containing a patient descriptor and determine the context of the descriptor (negative, positive, or out of context). We divided the manually labeled sentences as follows: two-thirds into a training set to train the model and the remaining one-third into a testing set for evaluation purposes. The trained model interpreted the sentences from the testing set and predicted their context as negative, positive, or out of context. Based on the testing set, the model correctly predicted the context of a sentence with a macro average value F1 of 0.935 (a perfect F1 score is 1).18 We then applied the final model to all chart notes in the data set. Additional information on the model development and sample code are in appendix exhibits A3 and A4.16

study variables For our primary analysis, the dependent variable was the occurrence of at least one negative descriptor in a patient's history and physical note. The independent variable was each patient's race and ethnicity as recorded in the EHR. For this analysis, we designated "White" to be non-Hispanic White, "Black" to be non-Hispanic Black or African American, "Hispanic or Latino" as any patient identifying as Hispanic or Latino, and "other" to be patients of any other racial or ethnic identities. Race and ethnicity data are typically queried and recorded in the EHR by a registration clerk before the patient encounter.

Our study's covariates included patient age (0–17, 18–29, 30–44, 45–64, or 65+), sex (male or female), insurance provider (Medicaid, Medicare, or employer-based/private), marital status (married or unmarried), primary language (English or not English), COVID-19 test result (positive or negative), encounter location (inpatient, outpatient, or ED), non-age-adjusted Charlson Comorbidity Index, encounter length (days), and timing of encounter either before March 1, 2020 (before the COVID-19 pandemic began), or after March 1, 2020 (after the COVID-19 pandemic began).

We adjusted for sociodemographic characteristics that have known associations with patient care as well as medical complexity, based on prior literature indicating that patients with these attributes may be perceived during clinical encounters as more difficult. We adjusted for timing of encounter because our study period included data from before and after the start of the COVID-19 pandemic (designated as March 1, 2020), providing us with an opportunity to also examine negative descriptor use specifically during the pandemic. We adjusted for encounter location in case the setting (inpatient, out-

patient, or ED) significantly affected the use of a negative descriptor.

STATISTICAL ANALYSIS For our primary analysis, we fit multilevel mixed-effects logistic regression models to determine the odds of a negative patient descriptor in each note as a function of race or ethnicity (using non-Hispanic White as the referent group). Multilevel modeling enabled analysis at the note level, with notes nested within encounters and encounters nested within patients (that is, a random effect for both encounter and patient). It also enabled adjustment for covariates at all three levels. We provided unadjusted estimates out of concern that adjusting for variables affected by structural inequalities in health and health care (for example, insurance type and comorbidities) may inappropriately minimize our estimation of disparities. Data analysis was conducted using STATA, version 16.1.

LIMITATIONS Our study had several limitations. First, it was performed at a single urban academic medical center, limiting generalizability. The machine learning model would be ideally validated on patient notes from multiple institutions across the US.

Second, a small proportion (16.7 percent) of the sample may have been prone to selection bias as the sample comprised patients who were tested for COVID-19 before the implementation of universal testing. This group may have been more likely to have a usual source of care and access to testing, although community-based outreach likely limited this effect. Ultimately, the majority of patients (83.3 percent) were included after universal testing was implemented and reflect all patients treated at the medical center on or after April 30, 2020.

Third, limited racial and ethnic heterogeneity in the sample prevented further disaggregation by either race or ethnicity to include additional groups in our analysis (for example, Asian race).

Fourth, this study was conducted in the years immediately preceding and following the onset of the COVID-19 pandemic, further limiting generalizability. Especially during the first wave of the pandemic, clinicians were functioning under exceptional circumstances, which likely altered the way they communicated and interacted with patients. We thus include analyses examining the timing of encounter relative to the onset of the pandemic.

Fifth, the natural language processing and machine learning algorithm may have missed or falsely detected a small percentage of negative descriptors, although the macro average value F1 metric was high (0.935 out of a perfect score of 1).

Sixth, despite literature documenting the use

Providers may be unable to change their language without self-awareness and training on potential biases.

of words such as "defensive," "hysterical," and "unpleasant," we did not observe the use of these descriptors at a significant frequency in the sample population. The machine learning results may also be partly influenced by trends in the training data, limiting identification of infrequently used descriptors.

Last, we recognize that the use of negative descriptors might not necessarily reflect bias among individual providers; rather, it may reflect a broader systemic acceptability of using negative patient descriptors as a surrogate for identifying structural barriers. Use of the term "noncompliant," for instance, does not carry neutral connotations, but race-based differences in treatment compliance often reflect underlying structural challenges (for example, medical distrust or financial hardship) rather than individual patient motivations or behaviors. The application of such terms thus can stigmatize patients for factors outside of their control, regardless of the ontology of bias.

Study Results

DESCRIPTIVE STATISTICS Our sample consisted of 18,459 patients (exhibit 1), 33,142 unique encounters (exhibit 2), and 40,113 history and physical chart notes (data not shown). Almost one-third (29.7 percent) of the patients were White, 60.6 percent were Black, 6.2 percent were Hispanic or Latino, and 3.5 percent were categorized as other. The mean age was 47.4 years (SD 23.0; data not shown), and 56.0 percent were female (exhibit 1). In total, 8.2 percent of patients had one or more negative descriptors recorded in the history and physical notes in their EHR (data not shown). Exhibits 1 and 2 display the full descriptive statistics of the study population and encounter characteristics.

NEGATIVE DESCRIPTORS AND RACE/ETHNICITY The most commonly used descriptors in any contexts were "refused" (n = 1,461), "(not) adher-

Insurance

Medicaid

Medicare

Employer-based/private

COVID-19 test result Positive

Use of negative patient descriptors in electronic health records (EHRs), by characteristics of patients from a large urban academic medical center in Chicago, Illinois, January 2019–October 2020

Negative patient descriptors in EHRs

			•				
	Patients	ents (N = 18,459) None (n = 16,938) One		None (n = 16,938)		or more (n = 1,521)	
Patient characteristics	%	No.	%	No.	%	No.	
Age, years 0–17 18–29 30–44 45–64 65+	11.9 13.4 16.8 30.3 27.7	2,197 2,464 3,101 5,591 5,106	12.0 13.5 17.0 30.0 27.6	2,028 2,276 2,862 5,057 4,649	10.7 11.9 15.1 33.7 28.8	169 188 239 534 457	
Sex Female	56.0	10,327	56.4	9,514	51.2	813	
Race and ethnicity Non-Hispanic White Non-Hispanic Black Hispanic or Latino Other	29.7 60.6 6.2 3.5	5,479 11,192 1,152 636	31.2 58.8 6.3 3.6	5,263 9,928 1,070 611	13.6 79.7 5.2 1.5	216 1,264 82 25	
Marital status Not married	67.8	12,517	66.5	11,224	81.5	1,293	
Primary language Not English	2.2	399	2.2	369	1.9	30	

SOURCE Authors' analysis of data from the University of Chicago Center for Research Informatics COVID-19 data mart. **NOTE** Results reflect detection of a negative patient descriptor (none versus at least one) in the patient's history and physical notes.

31.0

32.0

37.0

8.1

5,950

6,026

6,483

1.521

ent" (n = 605), "(not) compliant" (n = 561), and "agitated" (n = 409) (data not shown). In adjusted models, Black patients had 2.54 times the adjusted odds (95% confidence interval: 1.99, 3.24) of having one or more negative descriptors in the EHR compared with White patients (exhibit 3). In addition, patients with Medicaid (adjusted odds ratio: 2.66; 95% CI: 2.08, 3.40) or Medicare (AOR: 2.08; 95% CI: 1.57, 2.75) insurance had higher adjusted odds of a negative descriptor compared with patients with private or employer-based insurance. Unmarried patients had higher adjusted odds of a negative descriptor (AOR: 2.12; 95% CI: 1.70, 2.65) compared with married patients. Increased Charlson Comorbidity Index (AOR: 1.11; 95% CI: 1.07, 1.15) was also associated with higher adjusted odds of a negative descriptor. In contrast, notes written after March 1, 2020 (AOR: 0.82; 95% CI: 0.70, 0.96), and in the outpatient setting (AOR = 0.37; 95% CI: 0.31, 0.45) had lower odds of having a negative descriptor. There were no statistically significant interactions between covariates (data not shown).

32.2

32.7

35.1

8.2

In addition, we performed a sensitivity analy-

sis excluding patients with ICD-10 codes related to delirium, substance use, or other mental and behavioral diagnoses, as these patients may be more likely to have negative descriptors applied for condition-related reasons. Results were substantively similar, with Black patients having 2.88 times the adjusted odds (95% CI: 2.03, 4.11) of having a negative descriptor compared with White patients (appendix exhibit A5).¹⁶

45.2

39.2

15.6

10.0

5,232

5,404

6,236

1.363

718

622

247

158

In patient-level sensitivity analyses using the number of negative notes per patient (appendix exhibits A6 and A7), ¹⁶ Black race was associated with 5.6 additional negative notes per 100 patients (95% CI: 3.5, 7.8) relative to White race.

Discussion

In this study conducted at an urban academic medical center, we found that Black patients had 2.54 times the odds of being described with one or more negative descriptors in the history and physical notes of their EHRs, even after we adjusted for their sociodemographic and health characteristics. Our findings suggest disproportionate use of negative patient descriptors for

EXHIBIT 2

Use of negative patient descriptors in electronic health records (EHRs) of a large urban academic medical center in Chicago, Illinois, by encounter characteristics, January 2019-October 2020

Negative nations descriptors in FHR

			Regative patient descriptors in Link			
	Encounters ($N = 33,142$)		None (n = 30,289)		One or more (n = 2,853)	
Encounter characteristics	% or mean	No. or SD	% or mean	No. or SD	% or mean	No. or SD
Charlson Comorbidity Index (mean and SD)	1.4	0.01	1.6	0.01	2.4	0.03
Encounter length, days (mean and SD)	4.4	0.04	5.8	0.06	7.5	0.1
Timing of encounter ^a (% and number) Before March 1, 2020 On or after March 1, 2020	19.3 80.7	6,401 26,741	17.1 82.9	5,718 27,690	26.0 74.1	1,740 4,965
Encounter location (% and number) Inpatient Outpatient Emergency department	46.6 51.8 1.6	15,459 17,157 526	50.7 48.0 1.4	16,928 16,018 462	68.0 31.0 1.1	4,556 2,075 74

SOURCE Authors' analysis of data from the University of Chicago Center for Research Informatics COVID-19 data mart. **NOTES** Results reflect detection of a negative patient descriptor (none versus at least one) in the patient's history and physical notes. SD is standard deviation: "We selected March 1, 2020 as an approximate date at which providers' behavior may have changed in conjunction with the COVID-19 pandemic. The first cases in Illinois that were not linked to travel from China were reported February 29 and March 2, 2020; see Illinois Department of Public Health [Internet]. Springfield (IL): IDPH. Press release, State of Illinois public health officials announce new presumptive positive COVID-19 case in Illinois; 2020 Feb 29 [cited 2021 Dec 8]. Available from: https://dph.illinois.gov/resource-center/news/2020/march/state-illinois-public-health-officials-announce-new-presumptive-positive-covid-19-caseillinois.html. Local medical institutions began implementing COVID-19 measures, with government measures to follow shortly thereafter.

Black patients compared with their White counterparts, which raises concerns about racial bias and possible transmission of stigma in the medical record.

Research and editorial writings by medical providers attest to the common use of terms such as "difficult," "challenging," and "resistant" to describe patients.²⁰⁻²² These and similar descriptors are not explicitly stigmatizing terms, but they may impart a negative connotation in the context of describing a patient. Jenny Park and colleagues used qualitative methods to analyze medical charts and documented five common types of negative language, which included portraying patients as difficult and stereotyping on the basis of race or social class.23 Goddu and colleagues observed in their study of hypothetical chart notes that explicitly stigmatizing language (that is, language that conjured up negative stereotypes) negatively affected respondents' attitudes toward the patient and resulted in less aggressive pain management plans.¹⁵

Our findings are especially alarming because we limited our evaluation of negative descriptors to the history and physical notes of patient EHRs. In a study by Michael Wang and colleagues, only 18 percent of text in inpatient progress notes were originally manually input, with the majority being imported from prior documentation.²⁴ History and physical notes provide key information frequently drawn on by other care providers. Negative descriptors written in the admission history and physical may be likely to be copied into subsequent notes, recommuni-

cating and amplifying potential biases. This practice underscores the responsibility of providers who document the initial patient encounter to do so in an aware and sensitive manner.

Of interest, our results suggest that outpatient encounters were associated with lower adjusted odds of having a negative descriptor in the EHR, which may indicate protective factors that are more prevalent in the outpatient clinical setting than in the inpatient setting. For example, previous research has found that physicians may be at increased risk of using stereotypes as a cognitive shortcut in stressful clinical environments characterized by time pressure, increased cognitive burden, and decreased resources. Outpatient care may also be less prone to negative descriptor use because encounters involve one-to-one patient-provider communication in ongoing, often long-term relationships.

Contrary to expectations, notes written after the COVID-19 pandemic began were associated with decreased odds of having a negative descriptor in the EHR. The onset of the pandemic coincided with a historically defining moment of national response to racialized state violence (for example, the police murders of George Floyd and others) and revealed stark racial disparities in COVID-19 health access and outcomes. These social pressures may have sensitized providers to racism and increased empathy for the experiences of racially minoritized communities. Although such a shift may have contributed to reductions in negative descriptor use after March 1, 2020, additional research is required to under-

Association of negative patient descriptor use in electronic health records with patient and encounter characteristics at a large urban academic medical center in Chicago, Illinois, odds ratios, January 2019-October 2020

Characteristics	Unadjusted odds ratio	Adjusted odds ratio
Race and ethnicity (ref: non-Hispanic White) Non-Hispanic Black Hispanic or Latino Other	2.84***** 1.34*** 0.89	2.54**** 1.51* 1.07
Age, years (ref: 65+) 0-17 18-29 30-44 45-64	0.99 1.23**** 1.19*** 1.23*****	0.81 0.87 1.12 1.40*****
Sex (ref: male) Female	0.93*	0.76****
Marital status (ref: married) Not married	2.30****	2.12*****
Language (ref: English) Not English	0.61***	0.77
Insurance provider (ref: employer-based/private) Medicaid Medicare	3.00**** 2.15****	2.66**** 2.08****
Encounter location (ref: inpatient) Outpatient Emergency department	0.26**** 0.85	0.37**** 0.70
Charlson Comorbidity Index	1.08****	1.11****
Encounter length (days)	1.01*	1.00
Positive COVID-19 test	1.16*	0.88
Timing of encounter (ref: before March 1, 2020) ^a On or after March 1, 2020	0.77****	0.82**

source Authors' analysis of data from the University of Chicago Center for Research Informatics COVID-19 data mart. **NOTES** Results reflect detection of a negative patient descriptor (none versus at least one) in the patient's history and physical notes. Reference value is 1. *We selected March 1, 2020 as an approximate date at which providers' behavior may have changed in conjunction with the COVID-19 pandemic. See note a in exhibit 2 for details. *p < 0.10 **p < 0.05 ****p < 0.01 ****p < 0.001

stand which aspects of the COVID-19 pandemic affected physicians' language. For instance, it may be that health care providers had less frequent interactions with patients, reducing opportunities for conflict to develop. Alternatively, patients being treated for COVID-19 may have been considered "less at blame" for their illness compared with patients with other more chronic and lifestyle-associated conditions.

Future research is needed to investigate the longitudinal consequences of a negative descriptor in a patient's medical record. Our study demonstrates the disproportionate application of negative descriptors to the history and physical notes of Black patients, but it cannot characterize relationships between an initial negative descriptor and future occurrences of negative descriptors. Our study also does not characterize potential impacts on a patient's medical care.

We theorize that negative descriptors in a patient's EHR may assign negative intrinsic value to patients. Subsequent providers may read, be

affected by, and perpetuate the negative descriptors, reinforcing stigma to other health care teams. It is also plausible that if a provider with implicit biases were to document a patient encounter with stigmatizing language, the note may influence the perceptions and decisions of other members of the care team, irrespective of the other team members' biases or lack thereof. Additional investigation may use a similar machine learning approach to examine EHR data over a longer period of time for repeated use of negative descriptors and for potential effects on health outcomes. Similar to the current study, this approach would also be limited to investigation of documented data and would not be able to assess nondocumented bias (for example, oral presentations) or outcomes such as patient trust.

Policy Implications

Our findings suggest multiple opportunities for policy interventions to address the use of nega-

tive descriptors. First, medical institutions can better address the introduction of implicit bias of all forms, but especially racial bias. Negative descriptors enter the chart either by a note writer who introduces negative language or by someone who perpetuates previously used language. For example, a provider's use of the term "aggressive" to describe a Black male patient may reflect the provider's own personal bias about Black men. But once this stigmatizing label becomes attached to a patient in the medical record, it potentially affects the perceptions and decisions of future providers regardless of whether future providers hold a preexisting bias about Black men being aggressive.

The goal of addressing implicit bias is to address the underlying mechanisms that prompt the use of negative descriptors to describe patients. This includes preventing the introduction of biased language by providers, preventing the perpetuation of biased language by members of the health care team, and increasing awareness of the effects of providers' language on the patient relationship. Interventions may include provider bias training and addressing health care system factors that may predispose providers toward expressions of bias.

Provider bias training can include competencies in nonstigmatizing language for interprofessional communication. Use of "people-first" language (for example, saying a patient has an "alcohol use disorder" instead of labeling them an "alcoholic") is becoming more common, 12,14 but such changes in vocabulary do not address the potential for contextual stigmatization. Better education on race and racism may help equip providers with the understanding needed to identify, prevent introduction of, and discontinue use of negative descriptors in the EHR. Nevertheless, as more institutions begin to share electronic records, a broader shift may be necessary to catalyze evolution in the language of health care. Regulatory bodies, such as the Accreditation Council for Graduate Medical Education and its counterparts, maintain training standards regarding professional communication, internal biases, and nondiscrimination.²⁶ Although these guidelines describe and affirm the importance of nonstigmatizing, patient-centered language, specific recommendations may be necessary to align professional standards with practices and prevent the transmission of bias

across institutions.

The need to review professional language standards in medicine is all the more pressing given implementation of OpenNotes policies, which allow patients full access to their EHRs, including chart notes. In a mixed-methods analysis of oncologists' notes, Jordan Alpert and colleagues found that note text did not significantly vary between pre- and postimplementation of OpenNotes software.²⁷ In a study by Leonor Fernández and colleagues, patients were shown notes from the OpenNotes EHR, and 10.5 percent reported feeling judged or offended by the notes' contents.28 Despite patient observation, providers may be unable to change their language without self-awareness and training on potential biases. The ongoing implementation of Open-Notes should encourage both providers and institutions to seriously consider the language used to describe patients or else risk harming the patient-provider relationship with downstream effects on patient satisfaction, trust, and even potential litigation.

In addition, hospital medicine can identify and address structural factors of health care delivery that exacerbate the use of stereotypes. In a study by Liselotte Dyrbye and colleagues, symptoms of burnout were associated with greater explicit and implicit biases among resident physicians. ²⁹ Addressing contributors to burnout is a necessary intervention. Emphasizing providers' responsibility to change without addressing health care system issues could increase burnout and inadvertently exacerbate bias. Alternatively, delivery models that increase inpatient continuity of care may replicate some protective factors associated with outpatient encounters. ³⁰

Conclusion

We found that Black patients at an urban academic medical center had disproportionately higher odds of negative patient descriptors appearing in the history and physical notes of their EHRs compared with White patients. This difference may indicate implicit racial bias not only among individual providers but also among the broader beliefs and attitudes maintained by the health care system. Such bias has the potential to stigmatize Black patients and possibly compromise their care, raising concerns about systemic racism in health care.

Preliminary findings were presented at the Society of General Internal Medicine Annual Meeting (virtual), April 21, 2021. Michael Sun was supported in part by the University of Chicago Medicine's Center for Healthcare, Delivery Science, and Innovation. Elizabeth Tung was supported by a National Heart, Lung, and Blood Institute career development grant (Grant No. 1K23HL145090) in patient-oriented research. Tung and Monica Peek were also supported by the Chicago Center for Diabetes Translation Research (Grant No. P30DK092949), funded by the National Institute of Diabetes and Digestive and Kidney Diseases. Data from this study were provided by the Clinical Research Data Warehouse maintained by the Center for Research Informatics at the University of Chicago. The Center for Research Informatics is funded by the Biological Sciences Division, the Institute for Translational Medicine and Clinical and Translational Science Award (National Institutes of Health Grant No. UL1

TR000430) at the University of Chicago. The findings and conclusions in this article are those of the authors and do not necessarily represent the official position of any sponsors. This is an open access article distributed in accordance with the terms of the Creative Commons Attribution (CC BY 4.0)

license, which permits others to distribute, remix, adapt, and build upon this work, for commercial use, provided the original work is properly cited. See https://creativecommons.org/licenses/by/4.0/. [Published online January 19, 2022.]

NOTES

- 1 Stepanikova I, Oates GR. Perceived discrimination and privilege in health care: the role of socioeconomic status and race. Am J Prev Med. 2017;52(1S1):S86-94.
- 2 Gonzalez D, Skopec L, McDaniel M, Kenney G (Urban Institute, Washington, DC). Perceptions of discrimination and unfair judgment while seeking health care: findings from September 2021 Coronavirus Tracking Survey [Internet]. Princeton (NJ): Robert Wood Johnson Foundation; 2021 Mar 31 [cited 2021 Dec 8]. Available from: https://www.rwjf.org/en/library/research/2021/03/perceptions-of-discrimination-and-unfair-judgment-while-seeking-health-care.html
- **3** Cooper LA, Roter DL, Carson KA, Beach MC, Sabin JA, Greenwald AG, et al. The associations of clinicians' implicit attitudes about race with medical visit communication and patient ratings of interpersonal care. Am J Public Health. 2012;102(5): 979–87.
- 4 Hall WJ, Chapman MV, Lee KM, Merino YM, Thomas TW, Payne BK, et al. Implicit racial/ethnic bias among health care professionals and its influence on health care outcomes: a systematic review. Am J Public Health. 2015;105(12):e60-76.
- 5 FitzGerald C, Hurst S. Implicit bias in healthcare professionals: a systematic review. BMC Med Ethics. 2017;18(1):19.
- 6 Blair IV, Steiner JF, Fairclough DL, Hanratty R, Price DW, Hirsh HK, et al. Clinicians' implicit ethnic/ racial bias and perceptions of care among Black and Latino patients. Ann Fam Med. 2013;11(1):43–52.
- 7 Maina IW, Belton TD, Ginzberg S, Singh A, Johnson TJ. A decade of studying implicit racial/ethnic bias in healthcare providers using the implicit association test. Soc Sci Med. 2018;199:219–29.
- **8** Blanchard J, Lurie N. R-E-S-P-E-C-T: patient reports of disrespect in the health care setting and its impact on care. J Fam Pract. 2004;53(9): 721–30.
- **9** Boulware LE, Cooper LA, Ratner LE, LaVeist TA, Powe NR. Race and trust in the health care system. Public Health Rep. 2003;118(4):358-65.
- 10 Thompson HS, Manning M, Mitchell

- J, Kim S, Harper FWK, Cresswell S, et al. Factors associated with racial/ethnic group-based medical mistrust and perspectives on COVID-19 vaccine trial participation and vaccine uptake in the US. JAMA Netw Open. 2021;4(5):e2111629.
- 11 Eligon J. Black doctor dies of Covid-19 after complaining of racist treatment. New York Times [serial on the Internet]. 2020 Dec 23 [last updated 2020 Dec 25; cited 2021 Dec 8]. Available from: https://www .nytimes.com/2020/12/23/us/ susan-moore-black-doctor-indiana .html
- 12 Carroll S. Respecting and empowering vulnerable populations: contemporary terminology. J Nurse Pract. 2019;15(3):228-31.
- 13 Glassberg J, Tanabe P, Richardson L, Debaun M. Among emergency physicians, use of the term "sickler" is associated with negative attitudes toward people with sickle cell disease. Am J Hematol. 2013;88(6): 532–3.
- 14 Broyles LM, Binswanger IA, Jenkins JA, Finnell DS, Faseru B, Cavaiola A, et al. Confronting inadvertent stigma and pejorative language in addiction scholarship: a recognition and response. Subst Abus. 2014; 35(3):217–21.
- **15** P Goddu A, O'Conor KJ, Lanzkron S, Saheed MO, Saha S, Peek ME, et al. Do words matter? Stigmatizing language and the transmission of bias in the medical record. J Gen Intern Med. 2018;33(5):685–91.
- **16** To access the appendix, click on the Details tab of the article online.
- 17 Bird S, Klein E, Loper E. Natural language processing with Python. First edition. Sebastopol (CA): O'Reilly Media, Inc.; 2009 Jun.
- 18 Savova GK, Masanz JJ, Ogren PV, Zheng J, Sohn S, Kipper-Schuler KC, et al. Mayo clinical Text Analysis and Knowledge Extraction System (cTAKES): architecture, component evaluation and applications. J Am Med Inform Assoc. 2010;17(5): 507-13.
- 19 Hinchey SA, Jackson JL. A cohort study assessing difficult patient encounters in a walk-in primary care clinic, predictors and outcomes. J Gen Intern Med. 2011;26(6): 588-94.
- 20 Simon JR, Dwyer J, Goldfrank LR.

- The difficult patient. Emerg Med Clin North Am. 1999;17(2): 353-70. x
- **21** Haas LJ, Leiser JP, Magill MK, Sanyer ON. Management of the difficult patient. Am Fam Physician. 2005;72(10):2063–8.
- **22** Breen KJ, Greenberg PB. Difficult physician-patient encounters. Intern Med J. 2010;40(10):682–8.
- 23 Park J, Saha S, Chee B, Taylor J, Beach MC. Physician use of stigmatizing language in patient medical records. JAMA Netw Open. 2021; 4(7):e2117052.
- 24 Wang MD, Khanna R, Najafi N. Characterizing the source of text in electronic health record progress notes. JAMA Intern Med. 2017; 177(8):1212–3.
- 25 Peek ME, Odoms-Young A, Quinn MT, Gorawara-Bhat R, Wilson SC, Chin MH. Racism in healthcare: its relationship to shared decision-making and health disparities: a response to Bradby. Soc Sci Med. 2010;71(1):13–7.
- 26 Accreditation Council for Graduate Medical Education. Common program requirements (residency) [Internet]. Chicago (IL): ACGME; 2021 Feb 3 [cited 2021 Dec 8]. Available from: https://www.acgme.org/globalassets/PFAssets/Program Requirements/CPRResidency2021.pdf
- 27 Alpert JM, Morris BB, Thomson MD, Matin K, Sabo RT, Brown RF. Patient access to clinical notes in oncology: a mixed method analysis of oncologists' attitudes and linguistic characteristics towards notes. Patient Educ Couns. 2019;102(10):1917–24.
- **28** Fernández L, Fossa A, Dong Z, Delbanco T, Elmore J, Fitzgerald P, et al. Words matter: what do patients find judgmental or offensive in outpatient notes? J Gen Intern Med. 2021;36(9):2571–8.
- 29 Dyrbye L, Herrin J, West CP, Wittlin NM, Dovidio JF, Hardeman R, et al. Association of racial bias with burnout among resident physicians. JAMA Netw Open. 2019;2(7): e197457.
- **30** Meltzer DO, Ruhnke GW. Redesigning care for patients at increased hospitalization risk: the Comprehensive Care Physician model. Health Aff (Millwood). 2014; 33(5):770–7.





Gateway to Health Communication

Gateway to Health Communication Home

Using a Health Equity Lens

Table of Contents	
Inclusive Communication Principles	
Using a Health Equity Lens	
Key Principles	
Preferred Terms	
Developing Inclusive Communications	
Inclusive Images	
Resources & References	

What is a health equity lens?

Got a Question?

Please contact us with any questions or comments at HEGuidingPrinciples@cdc.gov

It means **intentionally** looking at the potential positive and negative impacts of proposed messages. Use a health equity lens in communication planning, development, and dissemination, to be inclusive, avoid bias and stigmatization, and effectively reach intended audiences. This approach includes getting input from the intended audiences. Consider the following key health equity concepts when framing health disparities and discussing public health implications:

- Long-standing **systemic social and health inequities**, including some that have been introduced or exacerbated by federal, state, and local policies, have put some population groups at increased risk of getting sick, having overall poor health, and having worse outcomes when they do get sick. Avoid perpetuating these inequities in communication.
 - Consider how racism and other forms of discrimination unfairly disadvantage people and lead to social and health inequities.
 - Emphasize the value of ensuring that everyone has an equal opportunity for health and that reducing disparities contributes to the common good and benefits all.
 - Explain that policies, programs, practices, services, and environments that support health can reduce health inequity.

- Recognize that access to information is not enough; people need information that they can understand, use, and that is culturally and linguistically appropriate.
- Avoid implying that a person/community/population is responsible for increased risk of adverse outcomes.
- Recognize that some members of disproportionately affected groups cannot follow public health recommendations. This may be due to inequitable resource allocation or a lack of inclusive infrastructure.
- Consider ways to improve the accessibility of content, such as using alternative communication formats and offering materials in other languages.
- Public health programs, policies, and practices are more likely to succeed when they **recognize and reflect the diversity of the community** they are trying to reach.
 - Use language that is accessible and meaningful to your audience of focus.
 - Tailor interventions and communications based on the unique circumstances of different populations.
 - Emphasize positive actions to be taken and ensure that community strengths and solutions are highlighted and drive local public health efforts.
 - Recognize that some members of your audience of focus may not be able to follow public health recommendations due to their cultural norms, beliefs, or practices.
 - Analyze structural barriers (present and historical) that need to be addressed to best serve different populations at different levels and within different contexts.
- **Community engagement** should be a foundational part of the process to develop culturally relevant, unbiased communication for health promotion, research, or policy making. Community engagement can have varying levels of community involvement, from outreach to consultation, involvement, collaboration, and shared leadership.
 - Be clear about the populations and/or communities you want to engage and the purposes and goals of the engagement effort.
 - Remember that successful community engagement is a continuous process that builds trust and relationships through a two-way communication process. This starts with mindfulness and listening and continues with joint decision making and shared responsibility for outcomes.
 - Aim for co-developed, co-curated, and co-produced community content whenever possible.
 - Engage organizations, agencies, and people who represent the community throughout the stages of communication development.
 - Perform formative research to understand community health status, needs, priorities, assets, and key influences. Listen to and learn from intended audiences before creating information products.
 - Consult community members on concept and message development in order to best understand what messages resonate and do not resonate with the intended audiences.
 - Invite people who represent your intended audiences to review materials before finalizing and disseminating.
 - Enhance reach of the message through use of effective channels and formats that are appropriate for the intended audiences.
 - Learn what strategies and language were most effective for this audience and apply that knowledge to future communication plans.
 - The engagement must be responsive to the needs, culture, and norms of that community as defined by the community itself. Critically assess how your priorities and needs align with those of the community.
- Health equity is **intersectional**. This means that individuals belong to more than one group and, therefore, may have overlapping health and social inequities, as well as overlapping strengths and assets.
 - The way people's social identities overlap should be considered to better understand, interpret, and

communicate health outcomes.

- Members of population groups are not all the same in their health and living circumstances. Understanding
 and accurately articulating the particular needs and experiences of your audience of focus can determine the
 level of impact, success, or failure of an intervention.
- Diversity exists within and across communities, with variations in history, culture, norms, attitudes, behaviors, lived experience, and many other factors. Be cautious in generalizing about a community.
- Race/ethnicity should not serve as a proxy for socioeconomic status, and vice versa.
- Not all members of your audience of focus may have the same level of **literacy**. This includes both the ability to read and the ability to understand the content.
 - Use active verbs and plain language so that all members of your audience can understand the information.
 - Recognize that while some people may not be literate, they possess other life skills that enable them to lead meaningful lives and contribute positively to society.
 - Acknowledge that many people with English as a secondary language are highly literate in a non-English language.
 - Similarly, recognize that people may not be literate in their primary language, and avoid assuming that people
 with English as a secondary language will understand written information when it's translated into their primary
 language.
 - Consider lack of digital access and literacy. Some people may not have access to technology, and others may not know how to use it.

Page last reviewed: August 2, 2022

Content source: Centers for Disease Control and Prevention